

ZB/2004/63424



INVESTOR IN PEOPLE

The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated

29 October 2004

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

BEST AVAILABLE COPY

THE PATENT OFFICE CP
Patents Form 1/77 2 2 OCT 2003

Patents Act 1977

RECEIVED BY FAX

Patent Office 220CT03 E846477-1 D02824 P01/7700 0.00-0324627.9

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leafler from the Patent Office to help you fill in this form). 2 2 OCT 2003 /

Cardiff Road Newport South Wales NP10 8QQ

The Patent Office

1. Your Reference

P.7257.GBA

2. Patent application number (The Patent Office will fill in this part)

0324627.9 /

 Full name, address and postcode of the or of each applicant (underline all surnames) WATERLEAF LIMITED

1<sup>st</sup> Floor

28 Victoria Street

Douglas

IM1 2LE, GE

Patents ADP number (if you know it)

08230831002 ISLE OF MAN

If the applicant is a corporate body, give the country/state of its incorporation

. Title of the invention

REDUNDANT GAMING SYSTEM

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode) MAGUIRE BOSS 5 Crown Street St. Ives Cambridgeshire PE27 5EB, G.B.

Patents ADP number (if you know it)

07188725001

 Priority: Complete this section if you are declaring priority from one or more earlier patent applications, filed in the last six months Country

Priority application number (fyou know it)

Date of filing (day/month/year)

 Divisionals etc: Complete this section only if this application is a divisional application or resulted from an entitlement dispute (see note t) Number of earlier application

Date of filing (day/month/year)

8. Is a Patents Form 7/77 (Statement of Inventorship and of right to grant of a patent) required in support of this request?

Yes

Answer YES it

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not remed as an applicant, or

c) any ramed applicant is a corporate tody:)

Otherwise answer NO (See note d)

Patents Form 1/77

#### Patems Form 1/77

Accompanying documents: A patent application must include a description of the invention. Not counting duplicates, please enter the number of pages of each item accompanying this form:

Continuation sheets of this form

Description

Clairus(s)

Abstract

Drawing(s)

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Potents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

> Any other documents (please specify)

> > **MAGUIRE BOSS**

11. I/We request the grant of a patent on the basis of this application.

Signature(s)

PETER MAGUIRE

01480 301588

Date:

e-Mail: patents@maguires.co.uk

12. Name, daytime telephone number and e-mail address, if any, of person to contact in the United Kingdom

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital leners using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'YES in part 8, Patents Porm 7/77 will need to be filled.
- e) Once you have filled in the form you must remember to sign and date it.
- f) Part 7 should only be completed when a divisional application is being made under section 15(4), or when an application is being made under section 8(3), 12(6) or 37(4) following an entitlement dispute. By completing part 7 you are requesting that this application takes the same filing date as an earlier UK application. If you want the new application to have the same priority date(s) as the carlier UK application, you should also complete part 6 with the priority details.

22.10.03

. : Aug 03 -

ī

5

10

#### 5 REDUNDANT GAMING SYSTEM

#### FIELD OF THE INVENTION

20

This invention relates to a gaming system and, more particularly, to a gaming system that enables a player to play a game of chance. The invention extends to a method of operation of the gaming system.

25

30

35

#### BACKGROUND TO THE INVENTION

Gaming systems for playing games of chance have become popular and increasingly common in a large number of different jurisdictions, for the purpose of providing entertainment and recreation to users thereof.

in its simplest form, a gaming system consists of a standalone player station, which offers a player a menu of one or more games of chance that the player can select for play. The games of chance have outcomes that are determined by random events, usually generated by means of a random number generator implemented in software. In an alternative topology, the gaming system may be a

distributed one, in which one or more player stations are connected to a remote gaming server by means of a communication network, in the standalone implementation, the software random number generator executes locally within the player station litself, while in the distributed implementation, the software random number generator executes in the gaming server and serves each one of the remote player stations,

It will be appreciated by those skilled in the art that the software random number generator is a critical component of such a gaming system, as unreliability or failure of the random number generator renders the gaming system inoperative. This is particularly so in a distributed topology where multiple player stations rely on a single random number generator, as failure of the random number generator will have an impact on every one of the player stations. In order to minimise the possibility of failure of the random number generator, it is customary for the random number generator to be implemented on a high-reliability gaming server, which is unnecessarily expensive.

#### OBJECT OF THE INVENTION

15

20

25 It is an object of this invention to provide a gaming system, and a method of operation thereof, that will, at least partially, alleviate the abovementioned difficulties and disadvantages.

#### 30 SUMMARY OF THE INVENTION

In accordance With this invention there is provided a gaming system, comprising: at least one player station capable of displaying to a player a simulation of at least one game of chance;

35 a primary random event generator communicable with the a least one player station by means of a communication network, the primary random event

15

20

25

30

35

3

generator being responsive to a request from the at least one player station to generate one or more random events upon which an outcome of the at least one game of chance is based;

a secondary random event generator communicable with the at least one player station, the secondary random event generator being activatable by the at least one player station to generate, in response to a request from the at least one player station, one or more random events upon which an outcome of the at least one game of chance is based; and

a controller arranged to monitor a status of the primary random event generator, the status of the primary random event generator being an active status when the primary random event generates one or more random events in response to a request from the at least one player station, and a failed status when the primary random event generator is fails to generate one or more random events in response to a request from the at least one player station, the controller being arranged to automatically activate the secondary random event generator upon transition of the status of the primary random event generator from an active status to a failed status.

Further features of the invention provide for the secondary random event generator to be communicable with the at least one player station by means of the same communication network, for the secondary random event generator to have a status that is switchable between an inactive state when the status of the primary random event generator is active, and an active state when secondary random event generator is activated by the controller, for the primary and secondary random event generators to be software random number generators, for the primary software random number generator to be executable in a first gaming server remote from the at least one player station, for the secondary software random event generator to be executable in a secondary gaming server remote from the at least one player station, for the gaming system to include a watchdog facility that detects failure of either one of the primary random number generator and the primary gaming server, for the watchdog facility to transmit a request data packet to the primary gaming server at regular intervals and to

monitor each request data packet for a corresponding response from the primary gaming server within a predetermined time interval, for the watchdog facility to instruct the controller to switch the status of the primary random event generator from the active state to the falled state when any request data packet from the watchdoo facility does not receive a corresponding response from the primary 10 random event generator within the predetermined time period, and for the at least one player station to direct any request for generation of the one or more random events to the secondary random event generator when the status of the secondary random event generator is a failed status.

Still further features of the invention provide for the at least one player terminal to be a computer workstation, for the communication network to be the Internet, for the watchdog facility to be a watchdog timer program executable in the at least one player station, for the primary and secondary servers to be communicable with each other by means of the communication network, for the primary and secondary gaming servers to each have a corresponding storage memory, for 20. the primary and secondary gaming servers to synchronise data in their respective storage memories data at predetermined intervals, and for the secondary gaming server to generate any one or both an audible and a visual alarm when failure of the primary gaming server has been detected by the watchdog facility.

25

30

35

15

The invention extends to a method of operation of a garning system, comprising the steps of:

displaying to a player a simulation of at least one game of chance; requesting a primary random event generator to generate one or more random events upon which an outcome of the at least one game of chance is based; monitoring a status of the primary random event generator, the status of the primary random event generator being an active status when the primary random event generator generates one or more random events in response to a request, and a failed status when the primary random event generator falls to generate one or more random events in response to a request; and

automatically activating a secondary random event generator, upon transition of the status of the primary random event generator from an active status to a falled status, to generate, in response to a request, one or more random events upon which an outcome of the at least one game of chance is based.

There is further provided for switching a status of the secondary random event generator between an inactive state when the status of the primary random event generator is active, and an active state when secondary random event generator has been automatically activated, for generating the one or more random events upon which an outcome of the at least one game of chance is based by means of primary and secondary software random number generators, for executing the primary software random number generator in a first gaming server, for executing the secondary software random event generator in a secondary gaming server, for detecting failure of either one of the primary random number generator and the primary gaming server by means of a watchdog facility, for transmitting request data packet from the watchdog facility to the primary gaming server at regular intervals and monitoring each request data packet for a corresponding response from the primary garning server within a predetermined time interval, for switching the status of the primary random event generator from the active state to the falled state when any request data packet from the watchdog facility does not receive a corresponding response from the primary random event generator within the predetermined time period, and for directing any request for generation of the one or more random events to the secondary random event generator when the status of the secondary random event generator is a falled status.

30

25

10

15

20

There is still further provided for associating the primary and secondary gaming servers with corresponding storage memories, for synchronising data in the respective storage memories of the primary and secondary gaming servers at predetermined intervals, and for generating any one or both an audible and a visual alarm when failure of the primary gaming server has been detected.

**5** ·

### BRIEF DESCRIPTION OF THE DRAWINGS

One embodiment of the invention is described below, by way of example only, and with reference to the abovementioned drawings, in which:

Figure 1 is functional representation of a gaming system according to the invention.

15

10

#### Detailed Description of the Invention

Referring to figure 1, a gaming system is indicated generally by reference numeral (1).

20

25

30

35

The gaming system (1) includes a primary gaming server (2), a secondary gaming server (3) and a plurality of player stations (4) located remotely from the gaming servers (2 and 3). Communication between the player stations (4) and the primary and secondary gaming servers (2 and 3) is provided by means of a communication network (5), that is in this embodiment, the internet. Each player station (4) is composed of a computer workstation with a display monitor (6) and a pointing device (7) such as a mouse. Each computer workstation (4) operates under a Windows 2000 operating system, which is well known and commercially available from the Microsoft Corporation of Seattle. Washington, USA. The primary and secondary gaming servers (2 and 3) operate under the Windows NT system, which is also a product of the Microsoft Corporation.

The gaming system (1) enables a player to play a game of chance at any one of the player stations (4). A number of different players may play the game of chance simultaneously, each player playing from a different player station (4). It is anticipated that the player stations (4) will be placed at different locations

15

20

35

5 throughout a geographic region, such as entertainment venues, shopping centres, games arcades, cinemas, night clubs, betting shops and the like.

The embodiments of the invention will be described with particular reference to a game of chance that is a single-player three-reel video slots game. It is to be clearly understood, however, that the invention extends to include the use of other types of single player games of chance such as, for example video slots. Each gaming server (2 and 3) is instructable to execute a respective software random number generator (2g and 3g) that generates random events that determine the outcome of a turn of the three-reel video slots game. The computer workstation of each player terminal (4) executes a respective computer program that renders to the player on the display munitor (6) a simulation of the three-reel video slots game. The progress of the simulation of the three-reel video slots game is controlled by the player by means of the pointing device (7). Each player station (4) also includes a controller (8) and a watchdog facility (9) that regulate interaction between the player station (4) and the primary and secondary gaming servers (2 and 3). The operation of the player stations (4) and the primary and secondary gaming servers (2 and 3) will be described in greater detail in the description that follows.

- A player wishing to play a turn of the three-reel video slots game at a particular player station is first required to place a wager on an outcome of the turn of the game. The player station (4) requests the generation of an outcome of the turn of the game from the gaming servers (2 and 3), according to the following steps:
- 1. the player station (4) stores a status of each of the primary and secondary gaming servers (2) and (3);
  - the status of the primary gaming server (2) is either an active status in which the primary gaming server (2) is able to generate one or more random events in response to the request from the player station (4), and

15

30

35

- a failed status when the primary gaming server falls to generate one or more random events in response to a request from the player station;
- 3. the status of the secondary gaming server (3) is either an inactive status when the status of the primary gaming server (2) is active, or an active status when the status of the primary gaming sever is a failed status;
- 4. If the status of the primary gaming server (2) is an active status, the controller (8) in the player station (4) routes the request from the player station to the primary gaming server, whose corresponding random number generator (2g) generates a random event that determines the outcome of the turn of the three-reel video slots game, in particular, the outcome of a spin of the reels in the player's particular turn of the three-reel video slots game;
- 5. If the status of the primary gaming server (2) is a failed status, and the status of the secondary gaming server (3) is an active status, the controller (8) in the player station (4) routes the request from the player station to the secondary gaming server, whose corresponding random number generator (3g) generates a random event that determines the outcome of the turn of the three-reel video slots game, in particular, the outcome of a spin of the reels in the player's particular turn of the three-reel video slots game;
  - 6. the primary gaming server (2) or the secondary gaming server (3), as requested, returns the outcome of the turn of the game to the player station (4), along the communication network (5), and the player station displays the outcome of the turn of the game to the player on the display monitor (6) of the player station in an intelligible manner, by simulating on the monitor an animation of three spinning reels that come to rest at appropriate indexed positions corresponding to the generated outcome.

10

15

25

The status of the primary and secondary gaming servers (2 and 3) is monitored by the watchdog facility (9) in the player station (4). The watchdog facility (9) comprises a watchdog timer program (not shown) that is executed in the player station (4). The watchdog timer program (not shown) operates in a manner that is well known in the art, namely polling the primary gaming server (2) at regular intervals by transmitting to the primary gaming server a request data packet at regular intervals and monitoring each request data packet for a corresponding response from the primary gaming server within a predetermined time interval. Whenever an expected response is not received from the primary gaming server (2) within the predetermined time interval, the watchdog facility (9) switches the status of the primary gaming server (2) from active to failed, and the status of the secondary gaming server (3) from inactive to active. Upon occurrence of this event, future game outcomes are obtained from the secondary gaming server (3). as described above. The watchdog facility (9) also generates either one, or both, an audible alarm and a visible alarm when the status of the primary gaming .20 server (2) changes from active to falled.

In order for the transition of the status of the primary gaming server (2) from active to failed, and the status of the secondary gaming server (3) from inactive to active, to occur seamlessly without interruption of service to the player at the player station (4), it is important that the primary and secondary gaming servers be synchronised at regular intervals. Such synchronisation occurs by means of the communication network (5) in a manner that is well known in the art and that will not, for this reason, be described here in detail.

30 Numerous modifications are possible to this embediment without departing from the scope of the invention, in particular, the status of the primary and secondary gaming servers (2 and 3) may be stored centrally in each of the gaming servers themselves, where they are accessible to each of the player stations, rather than being stored locally in each of the player stations themselves. In the standalone topology described above, the primary and secondary software random number

generators may be executed on separate processors, respectively, within the player station (4) itself in order to provide a required degree of redundancy.

The invention therefore provides a gaming system with redundant random number-generation that exhibits improved up time relative to prior art equivalent.



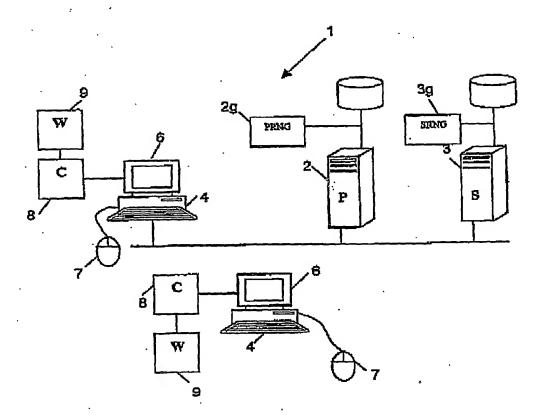


Figure 1

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ other:

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.